A Very Special Thank You to our Conference Committee, Staff, Sponsors & Speakers

We would like to thank our sponsors, conference committee members, speakers and our staff for their contributions and participation in the IRSC annual conferences. The Annual IRSC Regulatory Compliance and Accounts Payable Conference has become a huge success as a direct result of all of these professionals that lend their expertise, share their knowledge and work so hard to ensure that the attendees obtain the most valuable educational experience in the regulatory tax & AP practices areas. Our sponsors also bring their wealth of experience and knowledge to share with the attendees through workshops and sessions. Our sponsors can provide solutions that can aid your organization in complying with the myriad of tax regulations, provide guidance, streamline internal processes in tax and AP and provide effective and efficient tools for processing, tracking and reporting payment or tax data.

We appreciate the effort put forth by everyone involved, hard work, their willingness and dedication in bringing to the business community this valuable conference. We look forward to continuing this collaboration on this conference and educational events – providing our attendees, clients and members an excellent educational resource.

Our Conference Sponsors

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Dear Attendees:

We would like to welcome you to the 2015 IRS Compliance Regulatory Tax Compliance and Accounts Payable Conference at the Disney’s Yacht and Beach Club Resort, Orlando, Florida!

We are grateful that we have such a great turnout, and want to provide you with helpful information regarding the conference, additional events and opportunities during your stay.

Throughout the conference, you will have an opportunity to meet with sponsors and vendors including IRSCompliance professionals to discuss regulatory services such as; Managed 1099/1042-S Processing and Reporting Services, risk assessments, penalty resolution, consulting services, view Information Reporting Software Solutions, Withholding and Regulatory Services, as well as TIN Matching Solutions, Unclaimed Property, Sales and Use Tax, VAT Tax and Employment Tax Issues. Do not miss your opportunity to meet everyone and see the latest tools for electronically soliciting, certifying and validating Payee Forms W-8 & W-9.

For more information call Pat at 410-914-5470 or via email patn@irscompliance.org, or call our regulatory experts at 1-877-TAX-REGS Ext. 0, email compliance@irscompliance.org and visit www.irscompliance.org.

On Monday during Lunch, we have a special guest arriving to meet everyone and have a chance to get a photo with him. Be sure to take your opportunity to meet “The Donald!”

We look forward to meeting all of you and sharing knowledge and information to help you comply successfully in Regulatory Compliance, Accounts Payable, Unclaimed Property, Vat Tax and Sales and Use Tax.

ENJOY THE CONFERENCE!

IRSCompliance.org
Regulatory Consulting | Risk Assessments | Support Consulting | Processing, Filing and Withholding Services
Regulatory Publications | Education
IRSCompliance Overview

IRSCompliance.org can assist your organization in meeting the demands for regulatory compliance, regarding Forms 1094, 1095, 1099, 1088, W2, 1042-S and Puerto Rico returns. We offer a fully compliant process for federal and state information returns, payment tracking, backup withholding, B-Notice and TIN Penalty Assessment processing and annual payee statements. IRSCompliance.org provides services to:

- Managed Services for Payee and Payment Tracking and Information Reporting and Withholding
- Secure Hosting
- Print and Mailing of Annual Forms, Corrections, Forms W9, W-8, B-Notices
- Form W-8 and W-9 Validation and remediation
- Regulatory Consulting
- Compliance Reviews
- Curing
- Policies & Procedures for Regulatory Compliance
- Regulatory Phone Support
- Regulatory Bulletins
- Federal and State Compliance Reviews and Risk Assessment
- TIN Management Services for:
  - Payee Solicitation & Certification
  - Certification Validation
  - IRS TIN Matching
  - Backup & Mandatory Withholding
  - B-Notice processing
- Penalty Abatement
- Regulatory Guides for 1099 & 1042-S Federal and State Reporting & Withholding
- Regulatory Education & Training
  - Webinars
  - Seminars
- Annual Regulatory Compliance & Accounts Payable Conference
- Remote and On-site Regulatory Training
- Federal and State Information Reporting and Withholding Certification (CIRS)
- Form 1099-MISC Information Reporting and Withholding Certification (CMISC)
- Form 1042-S Information Reporting and Withholding Certification (CNRA)
- State Information Reporting and Withholding Specialist (CSTATE)
- And more...

IRSCompliance Staff consist of Former IRS Officials, Big 4 Accounting Firms, Insurance, Banking and Private Industry Directors and VP’s.

IRSCompliance is a Registered NASBA Sponsor.

For more information call Pat at 410-914-5470 or via email patn@irscompliance.org, or call our regulatory experts at 1-877-TAX-REGS Ext. 0, email compliance@irscompliance.org and visit www.irscompliance.org

IRSCompliance.org is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses of CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Nashville, TN 37218-2417. Website www.nasba.org.
Complying with Federal and State information reporting, payee and payment management is a year round job...

✓ Managing and Tracking Payment & Payee Information Year Round Documentation  
✓ Identifying Data Errors Early  
✓ Guarding Against Penalties  
✓ Reasonable Cause Tracking  
✓ Federal IRS and State Reporting of Information Returns  
✓ B-Notices  
✓ Forms W-8 & W-9 Solicitation, collection & Validation Services  
✓ Annual Payee Statements  
✓ FATCA Reporting Services  
✓ ACA Reporting Services
Rely on IRSCompliance for year round guidance on Federal and State compliance, payee document validation, payee and payment processing, information reporting, consulting, training and penalty risk prevention.

Solve your regulatory needs!
Managed Services – Expert Regulatory Guidance

The 1099 Pro Managed Services Group, IRS Compliance, is comprised of experts recognized for their knowledge on tax regulations and regulatory compliance with IRS, SSA and state requirements. Managed Services’ core focus is to provide solutions that improve compliance and reduce risks to our clients. With direct experience in the development and deployment of IRS regulations, practice and audits, as well as state requirements, abatement, appeals and procedures; each of the experts at IRS Compliance have more than 20 years of experience and began their careers at the IRS, Big 4 accounting firms or private industry.

These premier processing and reporting services can be tailored to meet your organization's needs. Whether all processes are needed, or only a few, Managed Services can provide the solution. These services can be hosted at one of our SSAE 16 SOC 1 Type 2 facilities or on your internal server.

- Federal Original and Correction Processing Information
- Data Review and Editing
- Returns – 1094, 1095, 1097-BTC, 1098, 1099, 5498, 3921, 3922, 1042-S, 8957, 8966, W2-G, W-2, W-2C
- Annual Payee Statements – 1094, 1095, 1098, 1099, 5498, 1042-S, 8957, 8966, W2-G, W-2, W-2C
- New Form 1099-K Payee Statements and Information Reporting
- Annual State Information Returns – Originals and Corrections
- Resident and Non-Resident Returns
- Current Year Quarterly Returns
- Independent Contractor
- Puerto Rico Returns and Statements
- Payment Card Tracking and Reporting – Form 1099K
- TIN Management
- Form W-9 and Form W-8 Solicitation and Certification
- Form W-8 and W-9 Certification Validation and Remediation
- Management of Payee TIN Status and Certification
- Backup Withholding Status
- B-Notice and TIN Penalty Notice Processing
- Data Imports – Flexible imports, Year To Date payments, Transactional Payments can be processed and generate transaction audit detail of payment activity
- IRS TIN Matching and Tracking of Results
- Balancing and Reconciliation
- Management Reports
- Transaction Detail History – for each account, transaction audit trails record posting activity, user data entry and reporting activity
- Reasonable Cause Tracking
- Multi Year Processing
- Transmitter Services
- Guaranteed Compliance
- Withholding Deposit Services
- And much more…
Industry Leader in Healthcare ACA 1094/1095, 1099, W-2, 1042-S, and FATCA reporting services.

1099 Pro, Inc. is the market-leading provider of information reporting solutions and services for series 1094, 1095, 1098, 1099, 392x, 5498, W-2, W-2G, Puerto Rico, 1042-S, FATCA 8957, and FATCA 8966 forms. Our comprehensive range of products enable organizations of all sizes to effectively manage their forms and stay compliant with IRS requirements. Our products range from desktop software for processing and filing forms, to Client Server/ASP applications using a Microsoft SQL® back-end for multi-year presentation of forms via Internet Explorer. Our Service Bureau can print, mail, and file your forms for you, or our compliance team can manage the entire reporting process, from start to finish.

Considering the constantly evolving IRS regulations and internal security requirements, 1099 Pro is the only major software provider that allows customers to choose between hosting the software internally or utilizing 1099 Pro’s secure hosting facilities. 1099 Pro, Inc. is a Microsoft Certified Partner, and has received SSAE SOC I Type II security certification, ensuring the safety of your data.
SOFTWARE & SOLUTIONS

Contact 1099 Pro to create a package of software and services that fits the needs of your organization.

SERVICE BUREAU
Print, mail, and electronically file your forms securely. Bulk matching, web presentment, electronic delivery, pressure seal printing. SSAE 16 SOC I Type II security.

MANAGED SERVICES
Our team at IRSCompliance will work with your team to process your payee and payment information, imports, and balances, and reconcile posted information to your business areas. Additionally, we will produce all federal/state returns, act as a transmitter on your company’s behalf, and generate payee statement files, B Notices, and management reports.

TINCHECK.COM
Identify TIN/Name mismatch errors prior to filing tax returns. OFAC/SDN, Death Master List, Excluded Parties List, Banned Patron List for Casinos, FATCA GIIN Validation, USPS Address, Bulk validation.

HOSTING
Host Corporate Suite from our SSAE 16 SOC I Type II servers for optimum performance and security. For more information, visit www.1099pro.com/services.

FATCA 8966 Reporting
1099 Pro offers software and secure SaaS solutions for the reporting of FATCA Form 8957, FATCA Form 8966, and Form 1042-S. Whether your host country is a Model I or Model II country, 1099 Pro can provide a centralized form management repository that is capable of importing/exporting data from Excel, creating PDF copies of forms, creating IRS FATCA XML transmission files, and securely packaging the payload for submission to IDES with 1099 Pro’s proprietary IDES Packager.

CORRECTIONS
Manually enter or import corrections. Supports corrections of corrections. Federal and State filing.

1094 & 1095 ACA REPORTING
Software-based or web-based ACA software that supports tax forms 1094-B, 1094-C, 1095-B, 1095-C for printing, mailing, and XML filing to the IRS.

TIN MANAGEMENT SERVICES
Import or enter CP2100, 972CG notices, and Bulk TIN responses. Auto match B-Notice history. Scan or enter B-notices/W-9/documentation into database. Export Bulk TIN request. W-9/B-Notice tracking.

FORM & PRINTING OPTIONS
R.R. Donnelly Blue Book support for high volume printing. Postal IMB, 2D Data Matrix, and other barcode print support. DPV provides accurate mailing information.

IRS, SSA & STATE FILING OPTIONS

IMPORTING & EXPORTING
Customizable import/export maps. Delimited, Excel, and fixed-length imports. Export to IRS 750 fixed length or delimited format. Automatic import via schedule. Multi-filer and Transactional imports. Year-end and year-to-date transactional importing and aggregation.

1099 PRO.NET WEB MODULE
100% web-based. Immediate usage via internet or intranet. TLS 128 bit security. No software to install with access online through all major browsers. Real time TIN validation provided by TINCheck.com.

STATECOMPLY.COM
1099 Pro, Inc. offers the best SaaS solution for the reporting of form CA 592, and New York Auto Body payments, and Independent Contractor hiring (ICR).
Compliance with Payee Certification and Validation is an Ongoing Process

Electronic Payee Certification
Regulatory Expertise
Web Collection of Payee Documentation
Regulatory Editing of Document Submission
Validation
Our hosted environment provides optimum uptime, security, and bandwidth, ensuring peace of mind. Featuring the most up to date environment for Windows, the CoreSite facilities are strategically located along the area’s rich fiber routes, offering robust connectivity within and between the data centers via cross connects, Any2 Internet peering exchange, fiber and DWDM service. All of the CoreSite facilities have passed stringent security certifications including a SSAE-16 Type 2 audit - the highest level of certification and security. 1099 Pro software runs directly from secure, dedicated servers within the CoreSite facility, so nothing needs to be installed on user computers saving your company time and money.

**CORESITE DATA CENTER**

**FEATURES**
- 99.9999% or higher uptime
- Raised floors
- Locked cabinet/cage
- Environmentally controlled

**CERTIFICATIONS**
- SSAE-16 Type 2 certification
- Physical security to meet regulatory compliance requirements such as HIPAA

**SECURITY**
- Mantraps, CCTV, key card controls
- Biometric scanners
- Controlled site access
- Pre-action fire suppression

**REduNDANCY**
- Redundant power provided via backup generators
- Built-in fail-over through redundant router and switch configuration

**1099 MANAGED HOSTING**

**FEATURES**
- Install and maintain 1099 Pro Corporate Suite, Pro.net (ASP) and web presentment API software
- 1099 Pro software updates
- Installation of all Microsoft related products and their respective updates and new releases, as required to maintain 1099 Pro operations.
- Licenses for Microsoft related products and Juniper SSL-VPN
- User support for 1099 Pro clients to resolve technical issues related to access of the hosted environment
- User support related to the installation and maintenance of the 1099 Pro software
- Integrated disaster recovery
- Firewall, anti-virus and intrusion protection

**BACKUP**
- Secure offsite Cloud storage
- On-site Appliance that provides local backup of protected Servers as well as transmitting the data to secure offsite Cloud storage
- Ongoing backup integrity verification and testing
- 24x7 backup appliance monitoring
- Preventative maintenance of the appliance
- Up to 24 snapshots are configured to be performed each day on the 1099 Pro customer data. These snapshots provide multiple restore points for Server failure recovery.

**PERFORMANCE**
- Dedicated servers are configured for 1099 Pro. No other applications are run on these servers, ensuring optimal speed and stability.
- High availability configuration including clustered servers and redundant disk storage
Combining The Power of Pro™

The integrated solution for managing and reporting on United States and Foreign Payee documentation. Combining innovation, technology, expertise and services of 1099Pro, IRSCompliance and Comply Exchange.

Together, providing comprehensive services that will:
- Provide advanced integrated reporting
- Authenticate recipient payments
- Alert to potential “risk of exposure”
- Target remediation requirements
- Help mitigate the risk of non-compliance
- Reduce costs through the use of expert services
- Increase efficiency by applying intelligent technology

Consultancy

Implementation

Regulatory & Support Services

For further information contact:
compliance@irscompliance.org

IRS COMPLIANCE, INC.
Lynda Foertschbeck,
CEO, Owner
410-914-5470
compliance@irscompliance.org
The Solution

Administration:
Intelligent Documentation Processing verify, manage, maintain, review and report on Forms W-8 & W-9. Highly configurable and intuitive, designed to meet your organizational needs. Provided as a client side, secure hosted or fully managed service.

Forms Exchange:
The Electronic Forms W-8 & W-9 Submission System for obtaining Forms W-8 & W-9 on-line efficiently and accurately with integrated help and pro-active guidance. Delivering a multi-lingual experience, helping to reduce cost, improve accuracy and significantly improve your client experience. Highly configurable to support your business needs and includes features such as integrated on-boarding, U.S. Source Income declarations, affidavit statements and includes the ability to collect additional information and additional documentation as standard.

ComplyPro Service:
Combining The Power of Pro™. The integrated solution that enhances recipient payment reporting and alerts to the potential “risk of exposure” for targeted remediation activity. Advanced and cost efficient solutions for managing U.S. NRA Tax Withholding and Information Returns Reporting. Designed to save your organization time, reduce training needs, reduce cost and mitigate the risk of non-compliance. Delivered in accordance with the latest IRS guidelines and designed to be able to support Chapter 4 requirements. These innovative and flexible solutions are further enhanced by integration with the market-leading 1099Pro Corporate Suite and underpinned by IRSCompliance regulatory expertise.

For further information, please visit our stand or visit:
www.irscompliance.org

For further information contact:
compliance@irscompliance.org
IRSCompliance Exchange Services and Support

1099 Pro Corporate Suite

For organizations that require a more comprehensive solution to manage the processing, tracking and reporting of Form 1042-S and Form 1099 returns and managing Payee TIN and Name certifications and withholding status events. The 1099 Pro Corporate Suite offers low volume to high volume account processing power, with the following benefits:

- Processes all forms in the 1042-S, 1096, 1098, 1099, 3921, 3922, 5498, and W-2G series
- Web enabled – ASP access
- Multi Tax Year processing
- Transaction level processing
- Detailed audit trails
- IRS, SSA and State Reporting
- TIN Compliance Management
- IRS Bulk TIN Matching submission and results tracking
- B-Notice Processing and Management
- TIN Penalty Management

The 1099 Pro Corporate Suite of applications may also be hosted and accessed via an ASP interface. Information is literally a click away. Management, balancing reports and information returns are available and produced on demand.

Both 1099 Pro Corporate Suite processes and reports all Forms 1098, 1099, 5498, W-2, W-2C and 1042-S to the requiring federal and state agencies, including Puerto Rico.

Access to payee and payment information is easily accessible, and presented in payment specific and user friendly screens.

TINCheck provides real time payee TIN and Name verification and validation processes improving compliance with payee validation requirements. TINCheck also includes OFAC/SDN matching.

IRSC Regulatory Services

Our track record in terms of regulatory knowledge and competency, customer service and longevity is unparalleled. Whether your needs are software, regulatory support, consulting, processing, full managed services, withholding, TIN Compliance, B-Notices, Payee TIN Certification, TIN Matching or Penalty Abatement, IRSCompliance and 1099 Pro can meet your needs.

IRSCompliance.org assists the filing community in meeting the demands for regulatory compliance, regarding Forms 1042-S, 1094, 1095, 1098, 1099, 8957, 8966 W-2, and Puerto Rico returns. Services include:

- Managed Services: Compliant processes for Payee statements, federal and state information returns, payment tracking, withholding, backup withholding, B-Notice processing, Penalty Prevention
- FATCA Consulting and Preparation for Account Relationship Processes and Procedures
- IRS TIN Matching Services: Various programs available
- OFAC/SDN Matching Services
- IRS Representation for abatements and appeals
- Penalty Risk Assessments and Curing
- Withholding and Deposit Services
- Forms 1099, 1042-S, W-9, W-8 processing, reporting and consulting
- Regulatory Consulting: Penalty abatement services, risk evaluations, procedures and policies to ensure compliance with federal and state requirements
- Complete or custom outsourcing services with 24/7 ASP client Access
Financial Software Innovations, Inc. has provided the insurance industry with annual statement software beginning in 1985. Since developing FSITrack, we have become a leader in unclaimed property management software. We continue to improve our products to align with our clients' needs and the ever-changing state laws and accounting practices. We provide big-business results with small-business values.

FSITrack is your complete Unclaimed Property software solution.
- Automatic live update
- Auto-populated state-specific PDFs
- Filing exemptions and deductions
- Due diligence letter creator
- User-specific filing calendar.

For more information, visit www.fsitrack.com

Escheat Happens!

FSIOasis™

FSI develops, markets and licenses the Optimal Annual Statement Insurance Software to assist the insurance industry in meeting NAIC statutory filing requirements. The FSIOasis System is the most advanced, easy-to-use annual statement software package available. The Data Mapping, Importing and Processing are the most innovative and cost-effective interface streamlining the Annual Statement filing process by minimizing the need for data entry. For more information, visit www.fsi-inc.com

Financial Software Innovations, Inc.
Austin, TX
Ph: 800.969.7979
www.fsitrack.com
Financial Software Innovations, Inc. has provided the insurance industry with annual statement software beginning in 1985. Since the development FSITrack, we have become a leader in unclaimed property management software. We continue to improve our products to align with our clients’ needs and the ever-changing state laws and accounting practices. We provide big-business results with small-business values.

With states increasing unclaimed property audits, business financial teams should not wait any longer to install a system that is dependable and accurate when reporting unclaimed property.

ESCHEAT HAPPENS

If you are currently filing with a manual system or if you are dissatisfied with your current software or if you are dissatisfied with your current software filing service, we recommend obtaining an all-in-one software system to ensure time efficiency, simple due diligence, and state regulated functionality. FSITrack is an easy to use application that allows entities of all sizes to comprehensively track, manage, and report unclaimed property as mandated by the sate.

- ALL the state specific filing forms.
- Automatic Live Update for up to the minute updates.
- Duplicate record checking.
- Automated Scheduling for letter generation, state reporting and more
- Multi-leveled security for users.
- Easy access to multiple companies, holder and unique identifiers.
- Property history to track any changes.
- Unlimited amount of user defined fields.

Call today to schedule a demo of FSITrack!

Financial Software Innovations, Inc.
3102 Bee Caves Road #200, Austin Texas
(800) 969-7979 WWW.FSI-INC.COM INFO@FSI-INC.COM
Stop by our booth for exclusive giveaways and to learn how we can help with the unclaimed property compliance roller coaster.

WWW.JMSADVISORS.COM
888-912-8533

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- MOUSE EARS
- PRINCESSES
- ROLLER COASTERS
- TOTAL ESCHEAT MANAGEMENT®
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ACCOUNTS PAYABLE CONFERENCE

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about our international and sales tax
compliance and consulting services.
Information Breeds Success!

SPEAKER SESSIONS
- Sales Tax Compliance
- Introduction to VAT
- VAT Recovery

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Confidence in Compliance
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Vendor Solutions Just Got Better

**InvoiceInfo is simple.**
It is easy and fast to implement, intuitive and easy to use and provides instant sightline to key data, all leading to strong vendor adoption and making life easier for you and your staff.

**InvoiceInfo is safe.**
Its secure Internet-based portal contains no identifiable sensitive customer information and isolates data from client’s internal systems. Its unique vendor login eliminates the need for more costly and potentially less secure user names and passwords.

**InvoiceInfo is smart.**
It moves low-value manual tasks to a self-service application that leads to immediate reductions in operating expenses, increases in productivity and enhanced customer service.

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**InvoiceInfo is the simplest—safest—smartest vendor self-service solution**
Visit our booth or website at www.InvoiceInfo.com to schedule your personalized demonstration today.

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2100 RiverEdge Parkway, Suite 1010 • Atlanta, GA 30328
678-335-5735 • info@invoiceinfo.com
Ernst & Young’s Information Reporting and Withholding team provides services covering a full range of global withholding and reporting matters for multinational corporations, financial institutions, banks, asset managers, broker-dealers, investment funds, custodians, insurance companies and others, including:

- Form W-9 documentation, including TIN certifications,
- Reporting on Forms 1098 and 1099
- Backup withholding
- Nonresident alien withholding, including best practices for Form W-8 solicitation and validation
- Nonresident alien reporting on Forms 1042-S and 1042
- Representation of our clients in voluntary disclosures to the IRS, IRS audits, the appeals process and the defense against penalty assessments
- Specialized withholding and reporting for pension plan administrators, universities, trustees of unit trusts and other fixed investment trusts, investment insurance companies, partnerships, REMICs, REITs and FASITs
- Global withholding and reporting requirements
- FATCA awareness and implementation
Compliance Reviews

Identify & resolve problem areas related to your compliance with Federal and State regulations pertaining to U.S. and foreign payee documentation, forms 1099 and 1042-S filing, withholding and penalty exposure...

Review of prior and current year processing and filing activities to identify and cure risks!

- On-boarding payees and vendors
- Validation of payee documentation
- Federal and State electronic filing
- Mandatory Federal and State Withholding
- Federal and State backup withholding
- IRS B-notice and penalty notices processing and management
- FATCA
- ACA
- Withholding deposits
- Payee documentation
- Corporate and business area policies and procedures

IRSC

IRSCompliance.org
Even some of the best-managed companies can find themselves liable for millions of dollars in Penalties and Backup Withholding costs.

In 2015, the President signed into law - new increases to the penalty structure – from the previous $100 per record to $250 per record – significantly increasing the financial risk for all U.S. Companies related to errors on information returns!

Has your company...

- Ever filed annual Information Returns late with any tax authority?
- Failed to file the proper state and local quarterly returns?
- Received “B” Notices from the IRS?
- Struggled with foreign payee documentation and withholding?
- Failed to perform resolicitations in a timely manner?
- Failed to begin backup withholding in a timely manner?
- Received IRS 972CG Notices?

If you answered yes to any of these questions, you may have significant Tax Risk exposure.

Why would my company be audited?

The IRS and individual State agencies routinely check for non-compliance with tax reporting. It is projected that a majority of companies in the United States will undergo either an audit or compliance review within the next 3 years.

The IRS has announced that audits will now include 1099 and 1042-S review for compliance with Payee TIN Solicitation and Certification, backup withholding, foreign payee withholding, withholding deposits, B-Notice processing and tracking, filing correctly and timely and proper identification of payees as U.S. or foreign.

How do I protect my company?

You can protect your company by being proactive. Identifying past failures and taking steps to cure those failures prior to an audit can significantly reduce financial risks. Implementing the correct corporate policies and procedures can then keep your organization in compliance and reduce or eliminate financial risks for the present and future. Doing nothing and waiting for the IRS or a state to raise the issue could be extremely costly.

The laws are enormously complex

1099 and 1042-S Managed Services, Risk Assessments and Curing, Regulatory Consulting, Regulatory Support, Education, Penalty Resolution and Risk Prevention Services are available from IRSCompliance.

Our staff has more than 25 years experience each in Regulatory Law, Regulatory Management, Services and Consulting.

IRScompliance staff members have real world experience from a cross section of industries which include government (IRS), insurance, banking, financial services and health care.

Our focus is solely on Tax Information Reporting Services and Consulting – we improve your compliance and minimize financial risk to your company.

For additional information on the products and services available from IRSCompliance contact us: email compliance@irscompliance.org or call 410-914-5470.
Tax management solutions for purchasing card programs

ADR developed PCard Tax Manager to fill the gap in sales/use tax compliance for corporate purchases made with credit cards.

PCard Tax Manager

- Eliminates the need for procurement or tax staff to manually review credit card receipts or other transaction data.
- Imports transaction data from the credit card Issuer to perform the calculation.
- Generates detailed transaction reporting that supports tax decisions when audited by state tax authorities.
- Identifies multiple exemptions from the use tax accrual for each transaction.

Features

- Calculates both state and local use tax accruals
- No input required from end user for transaction processing
- Easily accessible menu driven setup and update screens
- Identifies multiple exemptions from the use tax accrual for each transaction.
- Merchant Nexus Database tracks states where over 3,000 commercial suppliers collect sales tax
- Accrual reports support use tax journal entries.
- Structure allows for easy sharing of tax accrual tasks between the card administrator and tax department.

Cost Savings and Benefits

- Reduces time previously required to manually calculate the use tax accrual.
- Reduces time spent generating reports and collecting documentation required for tax audits.
- Reduces chance of over accruing use tax on transactions where sales tax was collected by the merchant.

Comments from our user evaluations:

"We finally feel that we have a handle on our use tax accruals"

"This gave us a way to work more closely with our procurement group to manage the accrual"

"We saved a lot of time on audits"

"We found overpayments by recalculating prior estimates"

"Finally had enough reports to keep the auditors happy"

Contact:
Greg
Website
www.pcardtax.com
E-mail
greg.anderson@pcardtax.com
Phone
612.810.7136

For a complete description of the software functionality and pricing, e-mail us at info@pcardtax.com
Leading the Way in Unclaimed Property Location and Recovery

Boomerang Asset Recovery specializes in assisting companies with the Identification, Location and Recovery of post-escheat unclaimed property.

Our Automated Property Locator (APL) technology together with our experienced claims submission and management processes are proven to maximize and enhance the recovery of your unclaimed property assets.

Simplifying Asset Location while Maximizing Recovery!

- Corporate Lineage Research
- Automated Property Location Technology
- Claims Processing and Submission Expertise

Solutions

Today, the location and recovery of post-escheat corporate unclaimed property assets is a labor intensive and complex process that often results in only a fraction of available assets being recovered. Companies are limited by resources, technology and experience in their quest to recover the unclaimed funds that rightfully belong to them. The current internet-based search tools provided by federal, state and local unclaimed property administration agencies are often inefficient, uninformative and often don’t provide accurate or comprehensive results. For these reasons, billions of dollars of unclaimed assets remain in the custody of these agencies.

To aid our clients in recovering what rightfully belongs to them, Boomerang Asset Recovery utilizes proprietary (APL) technology solutions that are capable of quickly locating large volumes of unclaimed property with a single automated search effort. This results in the efficient location of corporate unclaimed property assets that otherwise would continue to remain unclaimed. For large conglomerates with corporate name and address lineage consisting of thousands of distinct business name and address criteria, our service provides a dramatic time and money savings solution with a guaranteed return on investment.

About Us

Founded in 2006, Boomerang Asset Recovery developed critical technology solutions capable of comprehensively locating difficult to find corporate unclaimed property assets. We combine these technologies with a unique set of proven business processes that provide corporate customers with a measurable and cost-effective solution for successfully managing their unclaimed property recovery efforts.
Demonstrate your knowledge.

Get Certified.

Professional Training and Certification

Organizations benefit from knowledgeable, proactive staff to reduce financial risks for non-compliance. Ongoing education enables tax, legal, payroll and accounting professionals to resolve issues, comply with regulations, and incorporate new and pending legislative requirements in their business practices and processes.

As a tax or accounting professional, you are expected to do more with less, control costs by using the latest payment system automation, work intuitively with every system and department within your organization, and comply with complex and changing regulations.

Ongoing education offered by IRS Compliance retains your accreditation and keeps you up to date on the changing regulations. Changing Federal and State legislative regulatory requirements creates financial risks for companies not prepared to comply.

Prove that you have the knowledge to execute your job with confidence and get the respect and compensation you deserve with CIRS, CMISC or CNRA certifications. Studies show that individuals holding certification can earn an average salary of 11% to 20% higher than their uncertified colleagues! Isn’t it time you get certified?

The Standard of Excellence for Accounting and Tax Professionals

These designations set the standard within any business area sponsored by IRSCompliance, Inc. – the leader in compliance services and regulatory training, resources, and services. The Certification signifies that an individual has demonstrated the knowledge and skills required to perform well in today’s complex business environment with Federal and State regulatory requirements.

Need more information?

Check out our selection of certification programs to the right. If you don’t see what you need for your organization, give us a call at 877-TAX-REGS or email compliance@irscompliance.org.

IRSCompliance.org is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses of CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Nashville, TN 37218-2417. Website www.nasba.org.
Certification: The Key to Success!

**Federal and State Information Reporting and Withholding Certification (CIRS)**

There are many complex State regulations on mandatory, backup, cross-state withholding and direct information reporting. States have been comparing information obtained from the IRS and implement penalty programs which they enforce. Several states have passed legislation to piggyback on new IRS laws, increasing penalties and financial risks to companies. The IRS Penalty Program can be up to $250 per record for missing and/or invalid TIN.

Get CIRS certified to receive the training you need to prevent penalties and understand complex and changing regulations, including: Required documentation for U.S. and Foreign payees, what is reportable, when you must withhold tax, filing requirements, employee vs. contractor, State reporting, B-Notices, and penalties.

**Form 1042-S Information Reporting and Withholding Certification (CNRA)**

With the exception of payments for goods and materials or for services provided outside of the U.S., almost all other payments issued to a foreign payee are reportable and may require Federal withholding. If you manage foreign individual or entity payments, you must know the following: Identifying and documenting the payee, what type of documentation is required and acceptable, validating documents, classify properly as a foreign or U.S. payee, determining and finding the correct foreign treaty rates, withholding under treaty or non-treaty rates, understanding and applying FATCA rules, applying FATCA withholding, which Form W-8 document is required, reporting Form 1042-S payments, penalties for Form 1042-S errors, and filing the Annual 1042.

**Form 1099-MISC Information Reporting and Withholding Certification (CMISC)**

Compliant processing of miscellaneous payments is invaluable to your employer and your career.

The majority of U.S. Organizations issue payments of reportable income in the course of their trade or business. There are many factors to be aware of in order to execute payments that are compliant with IRS regulations. Get CMISC certified to receive the training you need and validate your knowledge. They include: Non-employee compensation, contractors and providers, legal services, medical services, honorariums, fees, commissions, rents, royalties, attorney settlements, awards and prizes, and consignment resale.

**State Information Reporting & Withholding Certification**

Getting CSTATE certified takes you through the process and requirements to prepare you with the knowledge you need to comply with state regulatory requirements for state reporting and withholding regulations.

State specific Penalty Programs are varied and penalties could be excessive. Some states have direct filing requirements, mandatory withholding and even backup withholding of state tax.

States are comparing information obtained from the IRS and enforce penalty programs. Several states have passed legislation to piggyback on the new IRS laws, further increasing the penalties and financial risks.
World Class Tax and Business Advisory Services

True Experience | True Responsiveness | True Collaboration | True Partners
Jerry Michael
President
IRSCompliance, Inc.
Committee & Speaker

Jerry Michael was formerly Branch Manager at the Internal Revenue Service. Prior to starting IRSCompliance, Inc., Mr. Michael had completed thirty-nine years of service with the Internal Revenue Service. In his final position as Chief of Information Returns at the IRS, he had the responsibility for the program guidance, filing and educational requirements, and oversight for the IRS’ Information Reporting Program (IRP), which receives over 1.5 billion information documents annually, and is considered a cornerstone of tax administration.

Mr. Michael now heads up IRS Compliance, Inc. which is an organization focused on providing 1099/W-2/1042-S processing and reporting services, penalty abatement and appeal services, tax related educational web casts, educational conferences, online training, publications and consulting services to the business community. This includes a team of industry experts and professionals who are focused on delivering the best in tax related services and educational programs to the business community.

Mr. Michael majored in Aeronautical Engineering at the University of Missouri and has completed post-graduate studies in economics and statistics at West Virginia University and Shippensburg University.

Linda McGee
Senior Business Leader
VISA Inc.
Speaker

Linda McGee, Senior Business Leader, Supplier Services for Visa Inc based in Foster City, California. In this capacity, Linda has developed product and regulatory information services for Visa’s Member Banks, Commercial Cardholders and Merchant customers. As a credit card industry veteran with over twenty-five years of experience, Ms. McGee was instrumental in creating the Visa Merchant Profile Database (VMPD), The Visa Supplier Matching Service (SMS) and the Visa Supplier Enrollment Program (SEP). Ms. McGee has participated in a wide range of corporate outreach capacities to the small, women and minority-owned business community teaching the benefits of payment card acceptance. She has also worked with the IRS to establish favorable standards for IRS 1099 MISC reporting in support of commercial card users.
Deborah J. Pflieger
Principal, Tax Information Reporting and Withholding Services
Ernst & Young
Speaker
Debbie is a Principal in Ernst & Young’s Financial Services Organization and leads our Tax Information Reporting and Withholding Services Team. Debbie consults with our clients in the information reporting and withholding arena, assisting them in determining and complying with their many obligations in this area. Debbie focuses on domestic reporting and withholding issues (i.e., Forms 1099 and backup withholding), nonresident alien reporting and withholding issues (i.e., Forms 1042-S and section 1441 withholding), and the Foreign Account Tax Compliance Act (“FATCA”). Debbie has participated in numerous Ernst & Young FATCA webcasts, and has spoken to many client and industry groups about this new provision. She is responsible for the tax technical portion of many of our FATCA engagements.

Debbie received a BS in Government from Georgetown University, a JD from Georgetown University Law Center, and an LL.M. in Taxation from New York University. Debbie is a popular speaker amongst external groups who focus on information reporting issues.

Kathleen Lobell
Director of the Unclaimed Property Division, Louisiana Department of the Treasury
Speaker
Kathleen Lobell is the Director of the Unclaimed Property Division for the Louisiana Department of the Treasury. She has been with the program for 28 years and became program director in 2012.

Kathleen has been a member of the National Association of Unclaimed Property Administrator’s (NAUPA) since 1989 and served three years as Southern Regional Vice President. She is currently serving as NAUPA Secretary Treasurer. She has participated as a speaker or panelist at many NAUPA conferences and training seminars.

George McCarthy
AVP and U.S. Tax COE Lead
John Hancock/Manulife Financial Corp.
Speaker
George McCarthy is AVP and US Tax COE Lead for John Hancock/Manulife Financial Corp. He leads a virtual team of over 100 tax professionals in various business units in Boston, Toronto and Manila, responsible for information return reporting and the NAIC tax forecast and tax planning. Prior to joining John Hancock he was the controller/tax director for a regional P&C carrier, as well as the accounting manager at ITT/Hartford and Liberty Mutual. George has BS in accounting from Merrimack College as well an master in taxation from FAU.
George Fox
Financial Services Office
Ernst & Young, LLP
Speaker

George is an Executive Director in the Ernst & Young LLP’s, Financial Services Office tax practice.

George has more than 25 years of experience in the information reporting and withholding area and is responsible for assisting clients with related issues. His services include related compliance audits, IRS examinations, due diligence reviews, and reasonable cause studies.

Prior to joining Ernst & Young, George worked in PricewaterhouseCoopers’ information reporting and withholding tax practice for approximately 13 years. George is a frequent lecturer at information reporting and withholding venues and has contributed articles to tax publications.

George received a B.S.BA. in accounting from Georgetown University, a J.D. from Georgetown University Law Center, and a LL.M. from the University of Miami School of Law. He is a member of the American Bar Association, the Florida Institute of Certified Public Accountants, and is licensed as a Certified Public Accountant in Massachusetts, Florida and the District of Columbia.

John Foertschbeck
CFO & Director of Regulatory Services
IRSCompliance, Inc.
Committee & Speaker

John has extensive experience in providing and managing tax compliance services and consulting related to tax reporting software and associated full services with more than 28 years of experience. He has been responsible for the management of consultation and implementation services of tax software for clients (Fortune 500) that present complex business models in the integration and conversion process. Specific experience includes providing service directly to clients for the purposes of managing and maintaining compliance with information reporting requirements for 1099, W2, 1042S payments to the IRS, SSA and state agencies. He was also responsible for researching all requirements regarding information reporting and ensuring that the solutions provided were functioning in full compliance. John has BS in Accounting, from the University of Maryland.

Formerly, John was with the Tax Controversy Services Group for Deloitte Tax, LLP, managing services to US and international companies for both 1099 and 1042S regulatory reporting, certification and withholding. Prior to Deloitte, Compliance Services for Convey, and Compliance Services Manager for ATSG.

A frequent speaker for regulatory education events for TAPN (The Accounts Payable Network), NAPCP, IAPP, CFO Magazine, state and local government events, many other conferences and seminars regarding regulatory compliance requirements and practice.
Carol A. Kassem
Regulatory Specialist
IRSCompliance, Inc.
Committee & Speaker

At IRSCompliance, Carol provides regulatory support and consulting services to our clients in all lines of business. Prior to July 2005, Carol was a Vice President in the Information Reporting group of JPMorgan Chase Bank, NA. From 1099 until the merger of JPMorgan Chase with Bank One in 2004, Carol was Information Reporting Manager of Bank One Corporation. In this role, Carol was responsible for providing technical support and guidance relevant to information reporting for the general bank and other affiliated companies. This role also encompassed the development and implementation of policies and procedures instrumental to complying with current IRS reporting requirements.

Prior to joining Bank One, Carol held tax positions with a predecessor bank, spanning a 20-year period. During that time, she provided support and supervision for the preparation and filing of federal and state tax returns as well as oversight for the information reporting process and other tax related functions.

In 2002, Carol was appointed to the IRS Information Reporting Program Advisory Committee (IRPAC) for a three-year tenure sponsored by the American Bankers Association. She also served as a member of the New York Clearing House Tax Withholding and Information Reporting Committee representing Bank One.

Carol is the author of two portfolios for Bloomberg BNA: Information Reporting to U.S. Persons - Payments Subject to Backup Withholding, and Reportable Payments and Transactions Not Subject to Backup Withholding.

Carol attended Virginia Tech and received a B.S. Degree in Accounting from Louisiana State University in 1978.

Lynda Foertschbeck
CEO
IRSCompliance, Inc.
Committee & Speaker

Lynda has more than 30 years of experience as a tax compliance professional in the development of regulatory software and compliance solutions, regulatory consulting services and tax controversy issues. Ms. Foertschbeck heads up business development and regulatory consulting services to the business community. Prior to joining IRSCompliance, she served as Manager in the Tax Controversy Unit at Deloitte Tax, as Vice President and Compliance Product Manager at DISC, Incorporated (now CheckFree) Compliance Director for Convey, and Director of Compliance Services for Moore, BCS.

Ms. Foertschbeck’s experience includes the development and enhancement of many proven tax software and service solutions for 1099, W2, 1042S processing and reporting to the IRS, SSA and state agencies. This includes products from all leading vendors that provide 1099 software and services. A frequent speaker for regulatory education events for TAPN (The Accounts Payable Network), NAPCP, IAPP, CFO Magazine, state and local government events, many other conferences and seminars regarding regulatory compliance requirements and practice.
Geraldine E. Ortiz, J.D., LL.M.
Vice President, Information Reporting
Charles Schwab & Co, Inc.
Committee & Speaker

Geraldine Ortiz joined Charles Schwab in 2013 and is the Vice President of Tax Information Reporting Policy and Compliance. In her role as Schwab’s Tax Compliance Officer, she is responsible for leading the guidance and oversight strategy for client tax withholding and reporting functions across all lines of business. Prior to Charles Schwab, Geraldine enjoyed 19 years at TIAA-CREF with over 15 years in various client tax operations and compliance roles.

Geraldine holds a Juris Doctor and a Masters in Tax Law from New York Law School. She also has a bachelor’s degree in Political Science with a minor in Law and Justice from the College of New Jersey where she was a Garden State Scholar.

Ernest V. Molinari
Vice President & Corporate Counsel Prudential Financial
Committee & Speaker

Mr. Molinari began his career with Arthur Young (one of the former “Big Eight” national accounting firms) in 1987. He then moved on to Prudential Securities in 1990, serving as a First Vice President, Tax Counsel for 5 years and transferred to Prudential Insurance in 1995. Presently, as VP & Corporate Counsel at Prudential Financial, Mr. Molinari is responsible for Prudential’s Enterprise Tax Information Reporting and Withholding Issues as well as Retirement Product Tax Compliance. This area of responsibility includes Product Tax, Corporate Compensation, Employee Benefits, Payroll, Accounts Payable, Fringe Benefits, Retirement and Employment Tax related matters.

Mr. Molinari received a BS in Accounting from New York University, a Juris Doctor degree from Brooklyn Law School, is admitted to the New York State Bar, and is admitted to practice law in NJ as in-house counsel. Chairman Emeritus of the American Council of Life Insurance (ACLI) Withholding and Information Reporting Task Force and an active member of the International Withholding and Reporting Task Force. He also served from 2002-2004 on the IRS Commissioner's Information Reporting Program Advisory Committee (IRPAC), and chaired the LMSB subgroup in 2004.
Michael Baechler
Vice President, National Accounts Manager
Meridian Global Services
Speaker

Michael Baechler has held a variety of sales and client services positions within Meridian since first joining the company in 1995. As a member of Meridian’s International VAT Consulting Team for the U.S. Market Region, Michael is currently responsible for sales and account management for consulting clients. Michael is experienced in advising multinational businesses on their international VAT obligations, including cross-border tax activities and assessing VAT liabilities, risks and solutions. Michael has a Bachelor’s Degree from the State University of New York, College at Oswego.

Richard E. Levy
Director Tax Compliance
AIG VALIC
Committee & Speaker

Richard E. Levy is Director Tax Compliance for AIG VALIC, which specializes in the retirement plans of private, nonprofit organizations and public educational institutions. He has held this and similar positions with AIG VALIC for eighteen years. His responsibilities focus on state and federal taxation, information reporting/withholding for pension and annuity contribution/distributions, which include cross-border reporting/withholding issues. He is a frequent speaker on specific retirement issues.

Richard received his Bachelor of Arts degree from the University of Tennessee. He holds NASD Series 6 and 26 licenses.

Dru Beguelin
Sales Director/Sales Tax
Meridian Global Services
Speaker

Dru Beguelin has been a member of Meridian’s Sales Tax Team since 2009. His responsibilities include identifying scope of case and strategy for discovery process as well as support for approach fundamentals, technical issues, appeals and review, appellate and client meetings. Dru assists US and International multinational companies with transactional taxes and understanding their US Sales Tax compliance responsibilities.

Dru has a Bachelor of Science in Business Administration from Thomas Edison.
Susan Vance  
**Compliance Officer**  
**FSITrack**  
**Committee & Speaker**  

Susan has been a member of the Financial Software Innovations, Inc (FSI,Inc) team since December, 2003. She was promoted to Compliance Officer of FSITrack in February, 2005.

Susan is a member of AFP, UPPO, and NAST/NAUPA. She is a member of the UPPO’s Members as Mentors Committee and a member of NAUPA's Uniformity and Standardizations Committee.

She has participated as a speaker in unclaimed property sessions for NAUPA and for previous Regulatory Tax Compliance and Accounts Payable conferences.

Susan works and lives in Austin, Texas.

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Ray Stewart  
**Founder**  
**1099 Pro and TIN Check**  
**Committee & Speaker**  

Ray founded 1099 Pro, Inc. (www.1099Pro.com) in 1994 and www.TINCheck.com in 2009. 1099 Pro, Inc. offers many scalable 1099, 1042-S and W-2 processing and reporting software solutions to the filing community. In 2005 he kick started and became part owner of IRS Compliance, Inc. in order to provide regulatory guidance, managed services, education, support and consulting to his client and prospect base. In 2009, he developed TIN Check to provide a tool to the business community to validate and clear TIN and Name information for validating payees information required by the IRS when issuing reportable payments. Ray is a CPA, formerly with Ernst & Young, California Real Estate Broker, UCLA MBA, UCI in BA Economics. He is also crazy about cycling!

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Colleen Phalen  
**Tax Manager**  
**Fidelity & Guaranty Life Insurance Company**  
**Speaker**  

Colleen Phalen is a Tax Manager for Fidelity & Guaranty Life Insurance Company. She joined Fidelity & Guaranty Life in 2003. Colleen is responsible for managing the product tax analysis, regulatory compliance and information reporting. Colleen has more than 25 years of domestic and international technical knowledge in federal tax and regulatory compliance.

Colleen is a member of ACLI, Insurance Tax Study group, NIIPA and TAPN. She has her designation of Certified Information Reporting Specialist, CIRS.

She earned a B.S. in Accounting from Strayer University.
Michael M. Lloyd
Miller & Chevalier
Speaker

Michael Lloyd practices in the areas of tax and employee benefits with a focus on Foreign Account Tax Compliance Act (FATCA), information reporting and withholding on cross-border payments (e.g., Forms 1042 and 1042-S, etc.), backup withholding, employment taxation, the treatment of fringe benefits, cross-border compensation, domestic information reporting (e.g., Forms W-2, 1099, 1095 series returns, etc.), penalty abatement, and general tax planning and controversy matters. Mr. Lloyd advises large U.S. and foreign multinationals regarding compliance with information reporting and withholding issues, as well as a range of other federal and state tax issues.

Mr. Lloyd recently completed a three-year term on the IRS Information Reporting Program Advisory Committee (IRPAC), during which time he worked with the IRS on FATCA, the Affordable Care Act (ACA or Obamacare) reporting issues, Form 1099-K reporting issues, and civil penalty administration. IRPAC provides a public forum for discussion of relevant information reporting issues of concern between IRS officials and public representatives. He has also testified before the U.S. Treasury Department and the IRS regarding proposed federal tax regulations. In 2005, the ABA Tax Section recognized Mr. Lloyd as a John S. Nolan Fellow.

Prior to joining Miller & Chevalier, Mr. Lloyd was an associate in the Tax practice group of Baker & McKenzie LLP in Washington, D.C. Before that he served as a Tax Manager for a publicly traded multinational, where he managed federal and state tax examinations and appeals, including matters involving foreign taxes. In addition, he performed domestic and international tax planning, including issues related to the repatriation of foreign earnings, U.S. export tax benefits, research credits, and planning for foreign expansion.

Mr. Lloyd has appeared as a guest speaker on IRS Live and at seminars hosted by Thomson Reuters OneSource, Bloomberg BNA | CITE, IRSCompliance, and Networking Seminars. He also speaks before other organizations such as the Tax Executives Institute (TEI), the American Payroll Association (APA), International Accounts Payable Professionals (IAPP), the Blue Cross and Blue Shield Association, the National Association of College and University Business Officers (NACUBO), and the National Restaurant Association.

While at the University of Maryland School of Law, Mr. Lloyd was the recipient of many honors and awards, including the Sam Allen Memorial Prize for Leadership and Scholarship, and the best oral advocate award for the 2000 and 2001 Mugel National Tax Moot Court Competitions.
Judy Bicking, APM

AP & Procure-to-Pay Consultant and Former Director
Johnson & Johnson’s AP Shared Services
Speaker

A 27-year Johnson & Johnson veteran, Judy Bicking led the U.S. Shared Services Center for 16 years. She helped design the center by benchmarking best practices across industries and matching those practices to a very decentralized environment. Her understanding of people, process and metrics were integral to the success of J&J’s Shared Services Center, which supports 99+ businesses in the United States and Puerto Rico. In 2003 the Center processed 1.2 million invoices with 57 FTE’s. Judy more recently served as Global Director to J&J’s European operations in its design and implementation of an AP Shared Services Center in Europe.

Starting as department head, she traveled a long road toward becoming Global Director of J&J’s European operations. Throughout her career she learned and developed her own ways and secrets for implementing new processes and best practices, for looking for innovative ideas on increasing productivity and reducing the number of errors, and of course for working with people. She has plenty of stories to tell and numerous accomplishments and results to be proud of.

She has trained hundreds of AP professionals during her years at J&J, and even more after her retirement working with The Accounts Payable Network. Judy’s knowledge and exceptional ability to present and explain information led her to train AP professionals all over the globe. Her expertise and advice helped us develop this extraordinary training program.

AP professionals taking this course have an incredible opportunity to have all of their questions about the procure-to-pay cycle answered by their knowledgeable instructor. While the course is offered online, Judy will be available to assist throughout. She is responsive and down to earth and is able to make every topic easy to understand.

Philip Carlton, MBA

Assistant Chief
Florida Department of Financial Services,
Bureau of Unclaimed Property
Speaker

Philip Carlton is the Assistant Chief with the Bureau of Unclaimed Property and has more than 22 years of compliance experience with the State of Florida. He holds an undergraduate degree in Business Administration with an emphasis in Accounting from Valdosta State University and a Masters Degree in Business Administration from Florida State University.
Carla T. McGlynn
Partner
Unclaimed Property Consulting & Reporting, LLC
Speaker

Carla McGlynn in her role as partner with UPCR LLC brings over 20 years of unclaimed property consulting expertise, including assisting companies to meet their unclaimed property reporting requirements, performing liability risk assessments, identifying and applying favorable mitigation techniques, initiating and negotiating state voluntary compliance agreements, managing and defending clients with multistate unclaimed property audits, and drafting or enhancing unclaimed property policies and procedures.

Carla has provided unclaimed property consulting and compliance services to hundreds of Fortune 500 & 1000 companies located across the country in various industries, including the financial, broker dealer, aerospace, life insurance, property and casualty insurance, retail, manufacturing, distributor, services, and healthcare industries.

Carla previously served as the Director of Advisory Services with APS LLC. She was responsible for strategy development, and for managing and supporting the APS’ national team of unclaimed property specialists. Prior to joining APS, Carla was the Metro New York Area Director of Unclaimed Funds Advisory Services for Ernst & Young LLP. And, prior to her tenure with Ernst & Young, Carla served as the New York Tri-State Area Leader for Deloitte.

Carla is significantly involved in the Unclaimed Property Professionals Organization (UPPO). Carla currently serving as her 2015-2016 term as Second Vice President to UPPO Board of Directors. In 2016, Carla will serve as First Vice President, followed by President of the UPPO Board of Directors in 2017. Carla is a frequent speaker at business and trade association conferences and unclaimed property technical webinars.

Robert M. Tucci
Managing Director
True Partners Consulting, LLC
Speaker

Robert Tucci is a Managing Director on the Unclaimed Property Management Solutions Team of True Partners Consulting. Robert has extensive experience in unclaimed property consulting, specializing in the performance of comprehensive diagnostic reviews, and multi-state audit defense. Before joining True Partners Consulting LLC, he held the position of Co-Leader of Grant Thornton’s National Unclaimed Property Practice. Prior to Grant Thornton, he was the Central Region Leader of Deloitte & Touche’s National Unclaimed Property Services Group.
Sara E. Withrow
Receipts Manager
WV State Treasurer’s Office
Speaker

Sara Withrow joined the WV State Treasurer’s Office as Receipts Manager of Unclaimed Property in October 2008. She oversees all holder reporting, assists holders in filing and compliance, securities management and holder outreach and training. Sara is also responsible for maintaining Eholder, the WVSTO on-line electronic reporting application. Sara has been a speaker at numerous holder workshops and state and national conferences on various Unclaimed Property topics and continues to work with holders in complying with West Virginia’s unclaimed property laws.

Prior to joining the WV State Treasurer’s Office, Sara’s previous work experience includes tenures as a finance manager for an international manufacturing company and an operational accounting manager for a Fortune 500 oil and gas corporation.

Sara holds a Bachelor of Science in Business Administration/Finance with minors in French, History & Economics from WV State University and is also a graduate of Marshall University with a Bachelor of Business Administration in Accounting. She resides in Winfield, WV and is the proud mother of a 13 year old son, Nicholas Avery.

Dan Mayer
Partner
Unclaimed Property Consulting & Reporting LLC
Speaker

Dan Mayer brings over 20 years of consulting experience both in public account and private industry to his role as partner at UPCR. Dan’s knowledge and technical expertise in the unclaimed property arena allow him to deliver unclaimed property consulting services including unclaimed property compliance solutions, risk mitigation, data and statistical analysis, process improvement assistance, voluntary disclosure representation, and audit defense and management services. Dan has provided unclaimed property compliance and consulting services for many mid-size to Fortune 500 companies in numerous industries including banking, financial, broker/dealer, healthcare, life insurance, property and casualty insurance, manufacturing, pharmaceutical, retail, services, and wholesale. In addition, he has extensive expertise in unclaimed property technical matters related to merger and acquisition due diligence and disclosure requirements.

Prior to UPCR, Dan served as a Director for APS LLC and the Southeast Director of Unclaimed Funds Advisory Services for Ernst & Young LLP. Dan also worked several years with Merrill Lynch & Co., Georgia-Pacific Corporation and Arthur Andersen in various corporate accounting and consulting capacities.

Dan is actively involved in the Unclaimed Property Professionals Organization having served on the Finance Committee and is currently participating on a special project commissioned by the GRAC committee. Dan is a frequent speaker at business, trade association and unclaimed property conferences and technical webinars.
Diana Turner  
**Certified Information Reporting Specialist**  
**Citi**  
**Committee & Speaker**  
Diana Turner is a Certified Information Reporting Specialist and has worked in the financial industry for more than 35 years with the last 12 years as a tax business analyst in the information reporting area for Citi. Prior to joining Citi, Diana worked for two companies who specialize in information reporting software. Diana has been a guest speaker for the IRS Compliance conferences since 2006.

Tracy Sellers, CMI  
**Managing Director**  
**True Partners Consulting**  
**Speaker**  
Ms. Sellers is a Managing Director in True Partners Consulting’s Tampa office. She joined the firm in 2006 and has over 25 years experience in state and local taxation. She specializes in transactional type taxes but continues to work with clients in the area of income and franchise taxes, intangible personal property taxes and unclaimed property. Ms. Sellers has spoken at numerous industry and national state tax seminars, and has designed and taught several courses in the area of state and local taxation. She is also a certified Vertex TDM Specialist. Ms. Sellers received her Bachelor’s of Science degree in Accounting from Florida State University. She is a certified member of the Sales and Use Tax Section of the Institute for Professionals in Taxation and a member of the State Tax Section of the Florida Institute of Certified Public Accountants.

Jonathan Cunanan  
**Account Manager**  
**1099 Pro**  
**Speaker**  
Jonathan Cunanan has been with Pro since 2012. Jon has extensive experience in the oil & gas and insurance industries with clients such as John Hancock, Pacific Life and Phillips 66 among others. Additionally Jon has an extensive technical background with Microsoft Applications including MS SQL, IIS Server making him a Tier III Technical Support for 1099Pro.NET and Corporate Suite.
Tom Nichols  
**President**  
Process Management Improvement, Inc.  
**Speaker**

Tom is a past president and charter board member of the International Accounts Payable Professionals Inc. (IAPP). The former director of financial operations for AT&T’s corporate accounts payable and payroll management, Tom was with AT&T for 35 years and is highly experienced in financial operations, procurement, information technology, manufacturing and materials management. He pioneered the introduction of electronic invoicing and ordering for accounts payable and purchasing within AT&T.

In 2001 Tom received the Sandia National Laboratories Excellence Award for his efforts in assisting in developing standards for electronic billing, utilizing the Internet. He served on the board of directors of the Electronic Data Interchange Association, developing national standards for document exchanges. In 2015 he received the “Lifetime Excellence Award” from The Institute of Finance and Management for his commitment and distinguished service to the Accounts Payable profession.

Currently, as president of Process Management Improvement, Inc., Tom provides research, AP process improvement consulting, and seminars to large companies and financial associations. Tom’s areas of expertise include AP Best Practices, Internal Controls, Change Management, Contract Compliance, Supplier Relations and overall Management Issues.

David Hay  
**Consultant**  
**Speaker**

David Hay has 40-years of international banking, finance, computer services and outsourcing experience.

He was a past Director of Shared Business Services Outsourcing at HP.

Prior to joining HP, David spent 24 years with GE – Global eXchange Services (GXS) initially as Marketing Manager for International Banking products. He retired from GXS as Global Marketing Manager for e-payments & Financial Services solutions.

At GE he served as a member and leader of key focus groups and task forces with EDI and e-commerce initiatives.

Currently David is a consultant to large companies and software providers in source to pay best practices, automation and AP and AR best practices.
Karen L. Anderson
Vice President – Reporting Compliance
Keane Unclaimed Property, LLC
Speaker

Karen Anderson has been involved in the unclaimed property industry for over 26 years and has been in the forefront of providing holders with practical compliance and penalty avoidance training and education. In her current position, Karen assists Keane in maintaining compliance related data, guides Keane clients in achieving and sustaining compliance and provides holder education via the Keane website, speaking engagements and publications.

Before working in the corporate arena, Karen was a member of the policy staff of former Illinois Governor Jim Thompson and was assigned to guide policy and programs relating to state business regulation. Then, for five (5) years, Anderson served as Illinois’ Unclaimed Property Administrator. After leaving state service, Karen served as the first Director of the National Association of Unclaimed Property Administrators (NAUPA).

Anderson holds a juris doctorate degree in law from Loyola University of Chicago. She is a Past President of the Unclaimed Property Professionals Organization (UPPO) and a member of the Securities Transfer Association Unclaimed Property Committee. Ms. Anderson contributes information to the unclaimed property segments of The Accounts Payable Network and Securities Transfer Association websites. Karen helped to develop the on-line securities unclaimed property training program of the Shareholder Services Association (SSA) and is featured faculty for an online training video created by the Credit Research Foundation. In summer 2012, Karen updated an unclaimed property chapter she wrote for Sheshunoff’s Corporate Treasury Management Manual.

Karen is a frequent speaker at business conferences, audio conferences and webinars and has authored numerous articles about unclaimed property-related issues.

John Waite
President and CEO
Boomerang Asset Recovery
Speaker

President and CEO for Boomerang Asset Recovery, a full service firm specializing in the location and recovery of unclaimed property. Currently serves as the Treasurer for the Unclaimed Property Professionals Organization (UPPO). Prior to working with Boomerang, John spent 20 years in the video game industry, responsible for operations, IT and treasury functions. He is a graduate from the University of Iowa with a Bachelor of Business Administration degree, with an emphasis in Finance. John is currently a resident of Colorado Springs and enjoys skiing, golfing and outdoor activities.
Phil Binkow
Chief Executive Officer
Financial Operations Networks
Speaker

Phil Binkow is founder and CEO of Financial Operations Networks (FON). FON focuses on vendor self-service and vendor relationship management software-as-a-service applications. In 2002 FON founded The Accounts Payable Network (TAPN), the leading resource for individuals with management and oversight responsibility for accounts payable operations. Nearly 5,000 members use TAPN's best-practices libraries, case studies, research, analysis, technology evaluations, practical tips, discussion forums, continuing education programs and customizable tools for AP planning and problem-solving. Phil is also co-founder of The Accounts Payable Certification Programs which has enrolled over 15,000 accounts payable professionals worldwide. TAPN was recently acquired by Diversified Business Communications.

Prior to starting Financial Operations Networks, Phil founded and served as CEO of PayTECH, an electronic payables processing, disbursements and information services provider serving companies such as Oracle, Cisco, the Gap, Charles Schwab, Turner Broadcasting, NCR and Viacom. PayTECH grew to process over 100 million invoices annually and was acquired by Profit Recovery Group [NASDAQ: PRGX] in 1999.

Phil holds a B.A. in Economics from Stetson University and an M.B.A. in Operations Research from the University of Florida. He serves on the executive committee and board of the Specialized Information Publishers Foundation, and is its immediate past president.

Laura Carlson
Manager, State & Local Tax Practice
Altus Group U.S., Inc
Speaker

Laura is a Manager in Altus Group’s State & Local Tax Practice where she focuses on Unclaimed Property Consulting services. Laura has successfully managed and completed numerous Delaware Voluntary Disclosure Agreements (VDAs) by isolating areas of potential unclaimed property, quantifying potential liability, providing suggestions for reducing potential liability, and developing work papers and methodology reports for the state’s review. Laura has been instrumental in audit defense projects for several fortune 500 companies. Laura is integral on all aspect of audit defense include document request review and management, population identification, remediation review, and audit close.
Jennifer Richmond

Account Manager
1099 Pro
Speaker

Jennifer Richmond is an Account Manager for 1099 Pro, and has been with 1099 Pro since 2009. Jennifer handles top tier customer support and management functions for both 1099 Pro and TINCheck.com. She received her bachelor’s degree from Arizona State University in Political Science and Communication and is a member of Omega Phi Alpha National Service Sorority. Her communication skills are a key to her success with contract negotiations for both TINCheck and 1099 Pro. Jennifer also is the directing manager for any custom tax form processing projects. She is a very accomplished Equestrian and volunteers at a local Calabasas horse riding facility teaching young children to ride.

Beatriz Castaneda

Director of Client Tax Reporting & Escheatment
Charles Schwab
Speaker

Beatriz Castaneda is Charles Schwab’s Director of Client Tax Reporting & Escheatment. Her responsibilities include information and withholding reporting to all clients, the IRS and State regulatory agencies. She is responsible for ensuring the firm correctly implements information reporting requirements for all new tax and cost basis legislation and regulations. During her 16 year tenure with Schwab, Ms. Castaneda has held a variety of positions in Client Tax Reporting, Cost Basis and Operational Hotline Service. She is an active member on Industry Committees and is currently serving a three year term on the IRS Information Reporting Program Advisory Committee (IRPAC).

James Santivanez

President
JMS Advisory Group
Speaker

For the past 17 years, James has provided guidance and consultation to clients in all industries regarding unclaimed property and state/local tax matters. Amongst other specialties, he works with clients to design and implement complex compliance solutions, negotiates amnesty/voluntary disclosure agreements to mitigate and resolve unclaimed property liabilities, and provides representation for third party and state unclaimed property audits.
Michael Stewart
Director of State Compliance
1099 Pro, Inc.
Speaker

From Santa Monica, CA, Michael Stewart has worked with 1099 Pro for approximately 5 years, 3 of which have been directly involved in the State Compliance Department. Michael’s department is responsible for actively updating 1099 Pro software and the many thousands of rules that accompany state direct tax reporting requirements, thresholds, file specifications, and submittal methods. During Michael’s tenure at 1099 Pro, 1099 Pro has developed a knowledge base and maintained high-level, managerial, contacts that can be leveraged to answer almost any question related to state reporting.

During off-work hours, Michael has completed an MBA at University of Southern California with emphases in strategy and business analytics. In his free-time, Michael manages and plays in a Santa Monica soccer team, enjoys beach volleyball, snowboarding, and movies.

S. Michael Chittenden
Senior Associate
Miller & Chevalier Chartered
Speaker

Michael Chittenden practices in the areas of tax and employee benefits with a focus on the Foreign Account Tax Compliance Act (“FATCA”), information reporting (e.g., Forms 1095, 1096, 1098, 1099, W-2, 1042, and 1042-S) and withholding, payroll taxes, and fringe benefits. As part of his FATCA practice, Mr. Chittenden has advised large international automakers, energy companies, retailers, and other nonfinancial companies on withholding agent and other obligations under FATCA. In addition, he has assisted multinational companies on developing comprehensive FATCA and Chapter 3 (nonresident alien reporting and withholding) compliance programs designed to proactively avoid potential errors and pitfalls.

Mr. Chittenden also advises large employers on their employment tax (FICA taxes, FUTA taxes and income tax withholding) obligations, the special FICA and FUTA tax timing rules for nonqualified deferred compensation, the successor employer rules for FICA taxes, the voluntary correction of employment tax mistakes, and the abatement of late deposit and information reporting penalties. In addition, he has also advised large insurance companies and employers on the information reporting requirements in Sections 6055 and 6056, which were created by the Affordable Care Act, and advised clients on the application of section 6050W (Form 1099-K reporting), including its application to third-party payment networks.
Dennis L. Zuehlke
Compliance Manager
Ascensus
Speaker

Mr. Zuehlke provides clients with technical support on tax-advantaged accounts (including individual retirement accounts, health savings accounts, simplified employee pension plans, and Coverdell education savings accounts), and information reporting and tax withholding issues. He is a frequent national speaker on compliance-related issues and retirement savings trends within the financial services industry. In addition, he authors articles for numerous industry publications, including The Link, Credit Union Magazine, and CUinsight.com.

Mr. Zuehlke was appointed to the IRS Information Reporting Program Advisory Committee (IRPAC) in 1999 and served as Chair of its Communication and Compliance Subcommittee in 2000. The IRPAC is a federal advisory committee comprised of representatives from financial institutions across the country that advises the IRS on information reporting issues.

Mr. Zuehlke attended Marquette University and graduated from the University of Wisconsin. Prior to joining Ascensus, he held a similar position with the Credit Union National Association.

Ascensus is the largest independent retirement and college savings services provider in the United States, helping over 6 million Americans save for the future. With more than 30 years of experience, the firm partners with financial institutions to offer tailored solutions that meet the needs of financial professionals, employers, and individuals. Ascensus specializes in recordkeeping, administrative, and program management services, supporting over 40,000 retirement plans and 3 million 529 college savings accounts. It also administers more than 1.5 million IRAs and health savings accounts.

Jean-Philippe Choudhry
Senior Account Manager
1099 Pro, Inc.
Speaker

Jean-Philippe is a senior account manager with over 10 years’ experience at 1099 Pro helping clients in the financial services, banking, insurance, oil & gas, and gaming industries with their information reporting software needs. Jean-Philippe has served on the IRS’s Electronic Tax Administration Advisory Committee (ETAAC) where he’s made recommendations on a wide range of tax administration issues to the IRS and Congress. Jean-Philippe has in depth technical and business knowledge that effectively combine to develop real world products and solutions to tackle many information reporting challenges.
Welcome to the Walt Disney World
Yacht & Beach Club Resort!

Located right here at the Yacht Club Resort, have your pick of a savory breakfast buffet, go poolside for lunch, or enjoy a mouthwatering steak dinner.

For Fine/Signature Dining, check out Yachtsman Steakhouse for high-quality, grain-fed beef is aged in-house and prepared on an oak-fired grill in an open kitchen or delight in beef entrees like prime New York strip steak with peppercorn-brandy sauce, as well as other offerings – pan roasted chicken and the “Catch of the Day.” Don’t forget to leave room for their signature desert. (For Reservations & Dress Code call 407-939-5277) Guests are asked to adhere to the dress code. Reservations Recommended. Children’s Menu Available.

Hop aboard and drop anchor Casual Dining at Captain’s Grille for Eastern Seaboard fare and grill favorites your whole crew will enjoy. Cast off with a mighty hearty breakfast, like the deluxe Lobster Omelet made with butter-poached lobster. You may also order a la carte morning meals from 7:30am-11:00am or the all-you-care-to-enjoy breakfast buffet from 7:30am-11:25am. If you are looking for dinner, catch the seared scallops and snow crab legs or venture onto dry land with the grilled New York strip or pork Tenderloin. Before you leave port, don’t forget to try the Key Lime Cheese Cake or the S’mores Tart. (For Reservations call 407-939-5277. No Dress Code. Children’s Menu Available.

For more Casual Dining try Cape May Café or Beaches & Cream Soda Shop, located on the property.

If you are looking to just relax in the lounge, there is a variety to choose from. Canoodle over a Cape Cod or any signature cocktail from the full bar menu including imported wines and draft beers at this mellow New England beach house-inspired lounge at Martha’s Vineyard. If you are looking for food & drink, including crab cakes, clam chowder, lobster rolls, along with sandwiches, steak and pasta and quench your thirst with draft beer or specialty cocktails at Crew’s Cup Lounge. Check out Ale and Compass Lounge, located right in the lobby, for your on the go coffee and pastries in the morning or find yourself here for a beer in the evening. High-end liquors are poured at this nautical nook in the evening.

For your Quick Service Meals, head on down to Beach Club Marketplace and start your day with hot breakfast items like a scrambled egg platter and fuel up on lunch and dinner. (Served until 11:00pm: flatbread pizzas, made-to-order sandwiches and soups, including New England “chowda”).
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Your source for regulatory compliance!

Penalty Abatement
We can assist your organization with abating proposed and assessed penalties with the IRS. In doing so, we can identify areas that may need improvement and penalty prevention.

Payee TIN Management
Form W-9 and Form W-8 compliance, certification and validation services and TIM matching.

State Compliance
Processing, payment tracking and information reporting services to requiring states.

Voluntary Compliance
By employing our extensive knowledge of protocol and procedures, we clean up your company’s compliance history with IRS and/or state tax authorities.

Managed Regulatory Services
Your round processing and reporting of payment and payee information, B-notice processing, TIN penalty abatement, TIM matching, printing and mailing of payee statements, regulatory support, and more!

Policy and Procedures
Application of best practices from our experience for policies and procedures in all industries.

Audit Defense and Management
Negotiate with IRS and states to resolve audits or past due filing requirements.

Regulatory Consulting
Compliance risk reviews, curing, consulting on current procedures and implementation and integration of payment system and reporting.
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